NOTES TO COLLABORATORS:

- The information provided in this report contributes to an important part of the CSES project. The information may be filled out by yourself, or by an expert or experts of your choice. Your efforts in providing these data are greatly appreciated! Any supplementary documents that you can provide (e.g., electoral legislation, party manifestos, electoral commission reports, media reports) are also appreciated, and may be made available on the CSES website.

- Answers should be as of the date of the election being studied.

- Where brackets [ ] appear, collaborators should answer by placing an “X” within the appropriate bracket or brackets. For example: [X]

- If more space is needed to answer any question, please lengthen the document as necessary.

Data Pertinent to the Election at which the Module was Administered

1a. Type of Election
   - [X] Parliamentary/Legislative
   - [ ] Parliamentary/Legislative and Presidential
   - [ ] Presidential
   - [ ] Other; please specify: __________

1b. If the type of election in Question 1a included Parliamentary/Legislative, was the election for the Upper House, Lower House, or both?
   - [ ] Upper House
   - [X] Lower House
   - [ ] Both
   - [ ] Other; please specify: __________
2a. What was the party of the president prior to the most recent election, regardless of whether the election was presidential?

Social Democratic Party of Austria (SPÖ). Please note that the Austrian president, Heinz Fischer, suspended his SPÖ membership for the time period of his presidency.

2b. What was the party of the Prime Minister prior to the most recent election, regardless of whether the election was parliamentary?

Social Democratic Party of Austria (SPÖ)

2c. Report the number of cabinet ministers of each party or parties in cabinet, prior to the most recent election. (If one party holds all cabinet posts, simply write "all"). Ministers are considered those members of government who are members of the Cabinet and who have Cabinet voting rights. List also cabinet members that are ‘independent’. If known, specify if the ‘independents’ are affiliated or close to certain parties.

<table>
<thead>
<tr>
<th>Name of Political Party</th>
<th>Number of Cabinet Ministers</th>
</tr>
</thead>
<tbody>
<tr>
<td>Social Democratic Party (SPÖ)</td>
<td>7</td>
</tr>
<tr>
<td>Austrian People’s Party (ÖVP)</td>
<td>6</td>
</tr>
<tr>
<td>Independent (but nominated by the Austrian People’s Party (ÖVP)</td>
<td>1</td>
</tr>
</tbody>
</table>

2d. What was the size of the cabinet prior to the election (total number of cabinet ministers detailed in 2c)? Please include only full ministers and the prime minister in the count. Ministers are considered those members of government who are members of the Cabinet and who have Cabinet voting rights.

The cabinet size prior to the election was 14. It consisted of 13 ministers and the Chancellor.

3a. What was the party of the president after the most recent election, regardless of whether the election was presidential?

Social Democratic Party of Austria (SPÖ). Please note that the Austrian president, Heinz Fischer, suspended his SPÖ membership for the time period of his presidency.

3b. What was the party of the Prime Minister after the most recent election, regardless of whether the election was parliamentary?

Social Democratic Party of Austria (SPÖ)

3c. Report the number of cabinet ministers of each party or parties in cabinet, after the most recent election. (If one party holds all cabinet posts, simply write "all"). Ministers are considered those members of government who are members of the Cabinet and who have Cabinet voting rights. List also cabinet members that are ‘independent’. If known, specify if the ‘independents’ are affiliated or close to certain parties.
Name of Political Party | Number of Cabinet Ministers
---|---
Social Democratic Party (SPÖ) | 7
Austrian People’s Party (ÖVP) | 5
Independent (but nominated by the Austrian People’s Party ÖVP) | 2

3d. What was the size of the cabinet after the election (total number of cabinet ministers detailed in 3c)? Please include only full ministers and the prime minister in the count. Ministers are considered those members of government who are members of the Cabinet and who have Cabinet voting rights.

The cabinet size after the election is 14. It consists of 13 ministers and the Chancellor.

4a. How many political parties received votes in the election? In this answer, we want political parties, not merely alliances or coalitions of political parties. Please include all parties that received votes, but do not include independents. Where coalitions are present, please count all member parties separately – for instance, a coalition of three parties would count as three parties in your answer, not as one party. Please provide separate information for elections held contemporaneously (e.g., legislative and presidential voting), when voters cast separate ballots.

14 parties received votes in the federal election 2013. Please note that NEOS is an alliance of three parties NEOS (“Das neue Österreich”), LIF (“Das liberale Forum”) and JuLis (“Junge Liberale”). It was counted as one party as they ran a joint list.

4b. Please provide a source of data and link to a website with official, detailed election results (votes and seats) for all parties participating in the election. If the data are not available electronically, please provide the information in paper format if possible.

Data can be found on the webpage of the Home Office (“Bundesministerium für Inneres – BM.I”):

http://wahl13.bmi.gv.at/

Please note information is only available in German.
4c. Please list all parties who received at least 1% of the vote nationally, and the applicable electoral results for each, in the following table. Please indicate the source (even if the same as in Question 4b), and add additional rows to the table as necessary. Please provide party names both in English and in the original language, if applicable.

<table>
<thead>
<tr>
<th>Party Name</th>
<th>Number of Votes</th>
<th>% of Vote</th>
<th>Number of Seats</th>
<th>% of Seats</th>
</tr>
</thead>
<tbody>
<tr>
<td>Social Democratic Party of Austria („Sozialdemokratische Partei Österreichs“ (SPÖ))</td>
<td>1258605</td>
<td>26.8 %</td>
<td>52</td>
<td>28.4</td>
</tr>
<tr>
<td>Austrian People’s Party („Österreichische Volkspartei“ (ÖVP))</td>
<td>1125876</td>
<td>24.0 %</td>
<td>47</td>
<td>25.7</td>
</tr>
<tr>
<td>Freedom Party of Austria („Freiheitliche Partei Österreichs“ (FPÖ))</td>
<td>962313</td>
<td>20.5 %</td>
<td>40</td>
<td>21.9</td>
</tr>
<tr>
<td>Alliance for the Future of Austria – List Josef Bucher („Bündnis Zukunft Österreich – Liste Josef Bucher“ (BZÖ))</td>
<td>165746</td>
<td>3.5 %</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Team Stronach for Austria („Team Stronach für Österreich“ (FRANK))</td>
<td>268679</td>
<td>5.7 %</td>
<td>11</td>
<td>6.0</td>
</tr>
<tr>
<td>NEOS The New Austria („Das Neue Österreich“ (NEOS))¹</td>
<td>232946</td>
<td>5.0 %</td>
<td>9</td>
<td>4.9</td>
</tr>
<tr>
<td>Communist Party of Austria („Kommunistische Partei Österreichs“ (KPÖ))</td>
<td>48175</td>
<td>1.0 %</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>4644997</strong></td>
<td><strong>98.9</strong></td>
<td><strong>183</strong></td>
<td><strong>100</strong></td>
</tr>
</tbody>
</table>

¹Please note that NEOS are an electoral alliance including the Liberal Forum (“Das liberale Forum“ (LIF) and the Young Liberals (“Junge Liberale” (JuLis)).


4d. What was the voter turnout in the election? Please also provide an official Internet address (preferably) or other official source where this information is available.

The turnout was 74.9%. (Source: [http://wahl13.bmi.gv.at/](http://wahl13.bmi.gv.at/))
4e. Please provide the following six statistics for the country at the time of the election studied, so that we may calculate voter turnout in various ways. Some definitions, where provided, are based on those developed by International IDEA. Please also provide an official Internet address (preferably) or other official source where this information is available.

- **Total Population**: 8451860 (population on January 1, 2013)
  Definition: The total population includes all inhabitants, of all ages, both citizens and non-citizens (including illegal aliens).

- **Total Number of Voting Age Citizens**: 6384331
  Definition: This number is meant to approximate the eligible voting population.

- **Total Vote**: 4782563
  Definition: The total number of votes cast in the relevant election. Total vote includes valid and invalid votes, as well as blank votes in cases where these are separated from invalid votes.

- **Total Number of Invalid and Blank Votes**: 89656

- **Voting Age Population**: 6384331
  Definition: Includes all persons above the legal voting age.

- **Number of Registered Voters**: NA in Austria
  Definition: The figure represents the number of names on the voters’ register at the time that the registration process closes, as reported by the electoral management body.

**Sources:**
http://wahl13.bmi.gv.at/
5. Ideological family of political parties. For this question, please use the same parties that were used in the CSES Module 4 respondent questionnaire, and label them the same way (A-I).

<table>
<thead>
<tr>
<th>Party Name</th>
<th>Ideological Family</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Sozialdemokratische Partei Österreichs (SPÖ)</td>
<td>D - Social Democratic Parties</td>
</tr>
<tr>
<td>B. Österreichische Volkspartei (ÖVP)</td>
<td>I - Christian Democratic Parties</td>
</tr>
<tr>
<td>C. Freiheitliche Partei Österreichs (FPÖ)</td>
<td>J - National Parties</td>
</tr>
<tr>
<td>E. Die Grünen - Die Grüne Alternative (GRÜNE)</td>
<td>A - Ecology Parties</td>
</tr>
<tr>
<td>F. Das Team Frank Stronach für Österreich(FRANK)</td>
<td>H - Right Liberal Parties</td>
</tr>
<tr>
<td>G. Das Neue Österreich (NEOS)</td>
<td>G - Liberal Parties</td>
</tr>
<tr>
<td>H. Kommunistische Partei Österreichs (KPÖ)</td>
<td>B - Communist Parties</td>
</tr>
</tbody>
</table>

1Please note that NEOS are an electoral alliance and includes the Liberal Forum (“Das liberale Forum” (LIF) and the Young Liberals (“Junge Liberale” (JuLis)).

Ideological Party Families: (These are suggestions only. If a party does not fit well into this classification scheme, please provide an alternative and some explanation.)

(A) Ecology Parties (F) Left Liberal Parties (K) Independents
(B) Communist Parties (G) Liberal Parties (L) Agrarian Parties
(C) Socialist Parties (H) Right Liberal Parties (M) Ethnic Parties
(D) Social Democratic Parties (I) Christian Democratic Parties (N) Regional Parties
(E) Conservative Parties (J) National Parties (O) Other Parties
6a. Ideological Positions of Parties:

Please indicate party positions on a left-right dimension (in the expert judgment of the CSES Collaborator). Please use the same parties that were used in the CSES Module 4 respondent questionnaire, and label them the same way (A-I).

<table>
<thead>
<tr>
<th>Party Name</th>
<th>Left</th>
<th>Right</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Sozialdemokratische Partei Österreichs (SPÖ)</td>
<td></td>
<td>x</td>
</tr>
<tr>
<td>B. Österreichische Volkspartei (ÖVP)</td>
<td></td>
<td>x</td>
</tr>
<tr>
<td>C. Freiheitliche Partei Österreichs (FPÖ)</td>
<td></td>
<td>x</td>
</tr>
<tr>
<td>D. Bündnis Zukunft Österreich - Liste Josef Bucher (BZÖ)</td>
<td></td>
<td>x</td>
</tr>
<tr>
<td>E. Die Grünen - Die Grüne Alternative (GRÜNE)</td>
<td></td>
<td>x</td>
</tr>
<tr>
<td>F. Das Team Frank Stronach für Österreich (FRANK)</td>
<td></td>
<td>x</td>
</tr>
<tr>
<td>G. Das Neue Österreich (NEOS)</td>
<td></td>
<td>x</td>
</tr>
<tr>
<td>H. Kommunistische Partei Österreichs (KPÖ)</td>
<td></td>
<td>x</td>
</tr>
</tbody>
</table>

Please note the classifications represent above are rounded. An expert survey with the members of the AUTNES team was conducted and numbers were averaged across all members (n=11). The means presented in the table below are more nuanced. It is important to note that NEOS are placed to the right of the Social Democratic Party (SPÖ) and to the left of the Austrian Peoples Party (ÖVP).

<table>
<thead>
<tr>
<th>Party Name</th>
<th>Means</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Sozialdemokratische Partei Österreichs (SPÖ)</td>
<td>3.6</td>
</tr>
<tr>
<td>B. Österreichische Volkspartei (ÖVP)</td>
<td>6.1</td>
</tr>
<tr>
<td>C. Freiheitliche Partei Österreichs (FPÖ)</td>
<td>8.4</td>
</tr>
<tr>
<td>D. Bündnis Zukunft Österreich - Liste Josef Bucher (BZÖ)</td>
<td>7.4</td>
</tr>
<tr>
<td>E. Die Grünen - Die Grüne Alternative (GRÜNE)</td>
<td>2.7</td>
</tr>
<tr>
<td>F. Das Team Frank Stronach für Österreich (FRANK)</td>
<td>7.7</td>
</tr>
<tr>
<td>G. Das Neue Österreich (NEOS)</td>
<td>5.6</td>
</tr>
<tr>
<td>H. Kommunistische Partei Österreichs (KPÖ)</td>
<td>1.0</td>
</tr>
</tbody>
</table>
6b. If you have asked respondents to rank political parties on an alternative dimension, other than the left-right dimension, please also provide your own rankings of the parties on this dimension. Please use the same parties that were used in the CSES Module 4 respondent questionnaire, and label them the same way (A-I).

Name of dimension:

Label for left hand position:

Label for right hand position:

<table>
<thead>
<tr>
<th>Party Name</th>
<th>Left</th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th>Right</th>
</tr>
</thead>
<tbody>
<tr>
<td>A.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>B.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>C.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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<td></td>
<td></td>
<td></td>
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<tr>
<td>D.</td>
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<td></td>
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<tr>
<td>E.</td>
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<tr>
<td>F.</td>
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<tr>
<td>G.</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>H.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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<td></td>
<td></td>
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<tr>
<td>I.</td>
<td></td>
<td></td>
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<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
7. In your view, what are the five most salient factors that affected the outcome of the election (e.g. major scandals; economic events; the presence of an independent actor; specific issues)? Rank them according to their salience (1 = most salient).

1. Economic development

2. Political scandals/corruption

3. New parties (NEOS, Team Stronach)

4. Education

5. Euro crisis
8. Party Leaders and Presidential Candidates:

In the table below, report the leader(s) of each party. Please use the same parties that were used in the CSES Module 4 respondent questionnaire, and label them the same way (A-I). If candidates were endorsed by more than one party, please indicate this.

<table>
<thead>
<tr>
<th>Party Name</th>
<th>Name of Party Leader</th>
<th>Name of Presidential Candidate, if appropriate</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Sozialdemokratische Partei Österreichs (SPÖ)</td>
<td>Werner Faymann</td>
<td>NA</td>
</tr>
<tr>
<td>B. Österreichische Volkspartei (ÖVP)</td>
<td>Michael Spindelegger</td>
<td>NA</td>
</tr>
<tr>
<td>C. Freiheitliche Partei Österreichs (FPÖ)</td>
<td>Heinz-Christian Strache</td>
<td>NA</td>
</tr>
<tr>
<td>D. Bündnis Zukunft Österreich - Liste Josef Bucher (BZÖ)</td>
<td>Josef Bucher</td>
<td>NA</td>
</tr>
<tr>
<td>E. Die Grünen - Die Grüne Alternative (GRÜNE)</td>
<td>Eva Glawischnig-Piesczek</td>
<td>NA</td>
</tr>
<tr>
<td>F. Das Team Frank Stronach für Österreich (FRANK)</td>
<td>Frank Stronach</td>
<td>NA</td>
</tr>
<tr>
<td>G. Das Neue Österreich (NEOS)</td>
<td>Matthias Strolz</td>
<td>NA</td>
</tr>
<tr>
<td>H. Kommunistische Partei Österreichs (KPÖ)</td>
<td>Mirko Messner</td>
<td>NA</td>
</tr>
</tbody>
</table>
9a. Fairness of the Election
How impartial was the body that administered the election law?
[X] Very impartial
[ ] Mostly impartial
[ ] Not very impartial
[ ] Not impartial at all

9b. Was there a formal complaint against the national level results?
[ ] Yes
[X] No

9c. Were there irregularities reported by international election observers?
[ ] Yes
[ ] No
[X] No international election observers

9d. On what date was the election originally scheduled to be held?
September 29, 2013

9e. On what date was the election actually held? If different from 9d, please explain why.
September 29, 2013

10a. Election Violence
To what extent was there violence and voter or candidate intimidation during the election campaign and the Election Day?
[X] No violence at all
[ ] Sporadic violence on the part of the government
[ ] Sporadic violence on the part of opposition groups
[ ] Sporadic violence on all sides
[ ] Significant violence on the part of the government
[ ] Significant violence on the part of opposition groups
[ ] Significant violence of all sides

10b. If there was violence, was it geographically concentrated or national?
[ ] Geographically concentrated
[ ] National
10c. Post-Election (and election-related) Violence
To what extent was there violence following the election?

[X] No violence at all
[ ] Sporadic violence on the part of the government
[ ] Sporadic violence on the part of opposition groups
[ ] Sporadic violence on all sides
[ ] Significant violence on the part of the government
[ ] Significant violence on the part of opposition groups
[ ] Significant violence of all sides

10d. Post-Election (and election-related) Protest
To what extent was there protest following the election?

[X] No protest at all
[ ] Sporadic protest
[ ] Significant protest

Questions about the Possibilities of Electoral Alliance

Definitions: A joint list refers to one on which candidates of different parties run together. Apparentement refers to a legal agreement between two or more lists to pool their votes for the purposes of an initial seat allocation, with seats initially allocated to the alliance then reallocated to the lists in the alliance.

11. Joint Lists/Candidates
There are multiple types of electoral alliances/coalitions, but we are explicitly interested in those involving joint lists or candidates - i.e. those where parties compete as a unit during the election.

Is this type of electoral coalition legally allowable?

[X] Yes
[ ] No

Is this type of electoral coalition used in practice, even if not legally allowable?

[X] Yes
[ ] No

If “Yes” was answered to either of the above questions, then please complete the following table for the election at which the Module was administered. Please mention only alliances that received at least 1% of the vote nationally. Add additional lines to the table as necessary.

<table>
<thead>
<tr>
<th>Alliance Name</th>
<th>Participating Parties (please indicate dominant members with an “*”)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Alliance 1: Das Neue Österreich (NEOS)</td>
<td>The New Austria (“Das Neue Österreich“ (NEOS))* , The Liberal Forum (“Das Liberale Forum (LIF)) , and the Young Liberals (“Junge Liberale“ (Julis))</td>
</tr>
</tbody>
</table>
12. If joint lists are possible, are they subject to different regulations than single-party lists? For example, higher thresholds, different numbers of candidates that may appear on the list, etc. (please mark all applicable responses)

[ ] Yes, joint party lists must satisfy higher thresholds
[ ] Yes, joint party lists may present different numbers of candidates
[ ] Yes, joint party lists are subject to other regulations that are different from the regulations governing independent parties; please specify: __________
[X] No, joint parties are governed by the same rules as the other parties
[ ] Not applicable; no joint party lists are allowed

13a. Is there apparentement or linking of lists?

[ ] Yes
[X] No

13b. If apparentement is possible, what lists can participate in such agreements:

[ ] lists of the same party in the same constituency
[ ] lists of the same party from different constituencies
[ ] lists of different parties in the same constituency

14a. Can candidates run with the endorsement of more than one party?

[ ] Yes
[X] No

14b. If candidates can run with the endorsement of more than one party, is this reflected on the ballot?

[ ] No
[ ] No party endorsements are indicated on the ballot paper
[ ] Yes, candidate's name appears once, together with the names of all supporting parties
[ ] Yes, candidate's name appears as many times as there are different parties endorsing him/her, each time with the name of the endorsing party
[ ] Yes, other; please explain: __________
Data on Electoral Institutions

If possible, please supplement this section with copies of the electoral law, voters’ handbooks, electoral commission reports, and/or any other relevant materials.

Questions 15a through 21d must be repeated for each electoral tier (segment) of each directly elected house of the legislature.

Electoral Tier (Segment) and House 1

15a. In your answers for questions 15a through 21d, which electoral tier (segment) is being referred to? (Note: Countries with only one tier may skip this question.)

First tier seats are allocated on the regional level. There are 39 regional districts (“Regionalwahlkreise”).

15b. In your answers for questions 15a through 21d, which house is being referred to (lower or upper)? (Note: Countries with only one tier may skip this question.)

The house referred to is the lower house (“Nationalrat”).

Questions about Voting

16a. How many votes do voters cast or can cast? In systems where voters rank order the candidates, if there are 10 candidates (for example), the response to this question should be 10.

Voters can cast a party vote on an open list and indicate their preferred candidate on the respective party list.

16b. Do they vote for candidates (not party lists) or party lists? (Note: Collaborators may select multiple answers, if appropriate.)

(Definition: Party bloc voting is used in multi-member districts where voters cast a single party-centered vote for their party of choice; the party with the most votes wins all of the district seats.)

[X] Candidates
[X] Party Lists
[ ] Party Bloc Voting
[ ] Other; please explain: __________

16c. How many rounds of voting are there?

There is one round of voting.
16d. If there are lists, are they closed, open, flexible, or is there party bloc voting?

- [ ] Closed (order of candidates elected is determined by the party and voters are unable to express a preference for a particular candidate)
- [X] Open (voters can indicate their preferred party and their favored candidate within that party)
- [ ] Flexible (voters can allocate votes to candidates either within a single party list or across different party lists as they see fit)

17. Are the votes transferable?
(Definition: In systems with preferential voting, a voter can express a list of preferences. E.g., votes can be cast by putting a '1' in the column next to the voter's preferred candidate, a '2' beside their second favorite candidate and so on. Votes are counted according to the first preferences and any candidates who have achieved the predetermined quota are elected. To decide which of the remaining candidates are elected the votes are transferred from candidates who have more than the necessary number to achieve the quota and from the candidate with the least number of votes. An example of this is the election in Ireland in 2002.)

- [ ] Yes
- [X] No

18. If more than one vote can be cast, can they be cumulated?
(Definition: Cumulative voting refers to systems in which voters are allowed to cast more than one vote for a single candidate.)

- [ ] Yes
- [X] No

19. Is voting compulsory?
(Definition: Voting is compulsory if the law states that all those who have the right to vote are obliged to exercise that right.)

- [ ] Yes; Strictly Enforced Sanctions
- [ ] Yes; Weakly Enforced Sanctions
- [ ] Yes; Without Sanction for Violation
- [X] No

20. Please list and describe any other features of voting that should be noted.

NA
Questions about Converting Votes into Seats

21a. Are there legally mandated thresholds that a party must exceed before it is eligible to receive seats?

[X] Yes
[ ] No

21b. If YES in Question 21a, what is the threshold?

Parties that already won a seat in one of the 39 regional districts or received at least 4% of the nationwide valid votes can enter parliament. Please see the Austrian electoral law for further information, available online in German and English: [http://www.ris.bka.gv.at/Dokumente/Erv/ERV_1992_471/ERV_1992_471.pdf](http://www.ris.bka.gv.at/Dokumente/Erv/ERV_1992_471/ERV_1992_471.pdf) (last accessed 12 December 2013)

21c. If YES in Question 21a, what is the unit for the threshold mentioned in Question 21b?

[ ] Percent of total votes
[X] Percent of valid votes
[ ] Percent of the total electorate
[ ] Other; please explain: __________

21d. If YES in Question 21a, please specify to what House/ Electoral Tier (Segment) the threshold(s) apply.

The threshold applies to the first electoral tier, the 39 regional districts (“Regionalwahlkreis”).
Electoral Tier (Segment) and House 2

15a. In your answers for questions 15a through 21d, which electoral tier (segment) is being referred to? (Note: Countries with only one tier may skip this question.)

Second tier seats are allocated on the state level. There are nine states (“Bundesländer”).

15b. In your answers for questions 15a through 21d, which house is being referred to (lower or upper)? (Note: Countries with only one tier may skip this question.)

The house referred to is the lower house (“Nationalrat”).

Questions about Voting

16a. How many votes do voters cast or can cast? In systems where voters rank order the candidates, if there are 10 candidates (for example), the response to this question should be 10.

Voters can cast a party vote on an open list and cast a preferential vote for their preferred candidate on the respective party list.

16b. Do they vote for candidates (not party lists) or party lists? (Note: Collaborators may select multiple answers, if appropriate.)

(Definition: Party bloc voting is used in multi-member districts where voters cast a single party-centered vote for their party of choice; the party with the most votes wins all of the district seats.)

[X] Candidates
[X] Party Lists
[ ] Party Bloc Voting
[ ] Other; please explain: __________

16c. How many rounds of voting are there?

There is one round of voting.

16d. If there are lists, are they closed, open, flexible, or is there party bloc voting?

[ ] Closed (order of candidates elected is determined by the party and voters are unable to express a preference for a particular candidate)
[X] Open (voters can indicate their preferred party and their favored candidate within that party)
[ ] Flexible (voters can allocate votes to candidates either within a single party list or across different party lists as they see fit)

17. Are the votes transferable?

(Definition: In systems with preferential voting, a voter can express a list of preferences. E.g., votes can be cast by putting a ‘1’ in the column next to the voter’s preferred candidate, a ‘2’ beside their second favorite candidate and so on. Votes are counted according to the first preferences and any candidates who have achieved the predetermined quota are elected. To decide which of the remaining candidates are elected the votes are transferred from candidates...
who have more than the necessary number to achieve the quota and from the candidate with the least number of votes. An example of this is the election in Ireland in 2002.

[ ] Yes
[X] No

18. If more than one vote can be cast, can they be cumulated?
(Definition: Cumulative voting refers to systems in which voters are allowed to cast more than one vote for a single candidate.)

[ ] Yes
[X] No

19. Is voting compulsory?
(Definition: Voting is compulsory if the law states that all those who have the right to vote are obliged to exercise that right.)

[ ] Yes; Strictly Enforced Sanctions
[ ] Yes; Weakly Enforced Sanctions
[ ] Yes; Without Sanction for Violation
[X] No

20. Please list and describe any other features of voting that should be noted.

NA

Questions about Converting Votes into Seats

21a. Are there legally mandated thresholds that a party must exceed before it is eligible to receive seats?

[X] Yes
[ ] No

21b. If YES in Question 21a, what is the threshold?

Parties that already won a seat in one of the 39 regional districts or received at least 4% of the nationwide valid votes can enter parliament. Please see the Austrian electoral law for further information, available online in German and English: http://www.ris.bka.gv.at/Dokumente/Erv/ERV_1992_471/ERV_1992_471.pdf (last accessed 12 December 2013)

21c. If YES in Question 21a, what is the unit for the threshold mentioned in Question 21b?

[ ] Percent of total votes
[X] Percent of valid votes
[ ] Percent of the total electorate
[ ] Other; please explain: __________
21d. If YES in Question 21a, please specify to what House/ Electoral Tier (Segment) the threshold(s) apply.

The threshold applies to the first electoral tier, the 39 regional districts (“Regionalwahlkreis”).
Electoral Tier (Segment) and House 3

15a. In your answers for questions 15a through 21d, which electoral tier (segment) is being referred to? (Note: Countries with only one tier may skip this question.)

Third tier seats are allocated on the federal level.

15b. In your answers for questions 15a through 21d, which house is being referred to (lower or upper)? (Note: Countries with only one tier may skip this question.)

The house referred to is the lower house ("Nationalrat").

Questions about Voting

16a. How many votes do voters cast or can cast? In systems where voters rank order the candidates, if there are 10 candidates (for example), the response to this question should be 10.

Voters can cast only one vote.

16b. Do they vote for candidates (not party lists) or party lists? (Note: Collaborators may select multiple answers, if appropriate.)

(Definition: Party bloc voting is used in multi-member districts where voters cast a single party-centered vote for their party of choice; the party with the most votes wins all of the district seats.)

[ ] Candidates
[ ] Party Lists
[ ] Party Bloc Voting
[ ] Other; please explain: __________

16c. How many rounds of voting are there?

There is one round of voting.

16d. If there are lists, are they closed, open, flexible, or is there party bloc voting?

[ ] Closed (order of candidates elected is determined by the party and voters are unable to Express a preference for a particular candidate)
[ ] Open (voters can indicate their preferred party and their favored candidate within that party)
[ ] Flexible (voters can allocate votes to candidates either within a single party list or across different party lists as they see fit)
17. Are the votes transferable?
(Definition: In systems with preferential voting, a voter can express a list of preferences. E.g., votes can be cast by putting a ‘1’ in the column next to the voter's preferred candidate, a ‘2’ beside their second favorite candidate and so on. Votes are counted according to the first preferences and any candidates who have achieved the predetermined quota are elected. To decide which of the remaining candidates are elected the votes are transferred from candidates who have more than the necessary number to achieve the quota and from the candidate with the least number of votes. An example of this is the election in Ireland in 2002.)

[ ] Yes
[X] No

18. If more than one vote can be cast, can they be cumulated?
(Definition: Cumulative voting refers to systems in which voters are allowed to cast more than one vote for a single candidate.)

[ ] Yes
[X] No

19. Is voting compulsory?
(Definition: Voting is compulsory if the law states that all those who have the right to vote are obliged to exercise that right.)

[ ] Yes; Strictly Enforced Sanctions
[ ] Yes; Weakly Enforced Sanctions
[ ] Yes; Without Sanction for Violation
[X] No

20. Please list and describe any other features of voting that should be noted.

NA

Questions about Converting Votes into Seats

21a. Are there legally mandated thresholds that a party must exceed before it is eligible to receive seats?

[X] Yes
[ ] No

21b. If YES in Question 21a, what is the threshold?

Parties that already won a seat in one of the 39 regional districts or received at least 4% of the nationwide valid votes can enter parliament. Please see the Austrian electoral law for further information, available online in German and English: http://www.ris.bka.gv.at/Dokumente/Erv/ERV_1992_471/ERV_1992_471.pdf (last accessed 12 December 2013)

21c. If YES in Question 21a, what is the unit for the threshold mentioned in Question 21b?

[ ] Percent of total votes
[X] Percent of valid votes
[ ] Percent of the total electorate
[ ] Other; please explain: __________
21d. If YES in Question 21a, please specify to what House/ Electoral Tier (Segment) the threshold(s) apply.

The threshold applies to the first electoral tier, the 39 regional districts (“Regionalwahlkreis”).

Please repeat questions 15a through 21d for each electoral tier (segment) of each directly elected house of the legislature. Countries with only one tier should proceed to Question 22.
22. Please provide an official source for district-level election results. English language sources are especially helpful. Include website links or contact information if applicable.

Information provided by the Austrian Home Office in German only: http://wahl13.bmi.gv.at/ (last accessed on 12 December 2013)

23. Please list any resources that were consulted in the preparation of this report, or that the CSES community may find especially helpful in understanding the political system described. Include website links if applicable.

Resources in German:

Plasser Fritz, Peter A. Ulram and Franz Sommer (2000) Das österreichische Wahlverhalten. VERLAG???

Resources in English:

Statistics Austria (2013) Population. Online: