CSES Module 1:
Sample Design and Data Collection Report

Country (Date of Election):

Type of Election (e.g. presidential; parliamentary; legislative): _____________________

Organization that Conducted the Survey Field Work: _____________________________

Investigators Responsible for Data Collection

Name: ……………………………………
Affiliation: …………………………………
Address: …………………………………
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Fax: ………………………………………
Phone: ……………………………………
E-mail: …………………………………...

Name: ……………………………………
Affiliation: …………………………………
Address: …………………………………
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Phone: ……………………………………
E-mail: …………………………………...

Languages used in Interviews: (Please provide copies of all survey instruments, and translation for those that were not conducted in English).
........................................................................................................................................
A. Study Design

- Post-Election Study
- Pre-/Post-Election Panel Study

Date Post-Election Interviewing Began: ………………………………………
Date Post-Election Interviewing Ended: ………………………………………

<table>
<thead>
<tr>
<th>If Panel Study:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Date Pre-Election Interviewing Began: ………………………………………</td>
</tr>
<tr>
<td>Date Pre-Election Interviewing Ended: ………………………………………</td>
</tr>
</tbody>
</table>

Mode of (post-election) interview:
- In person, face-to-face
- Telephone
- Mail or self-completion supplement

B. Sample Design and Sampling Procedures

1. Eligibility Requirements
   a) Age: Minimum………… Maximum…………
   b) Citizenship: Yes ☐ No ☐
   c) Other requirements:

2. Sample Frame:
   a) Were any regions of the country excluded from the sample frame?
      No ☐ Yes ☐: ………………………………………………………………………
       ………………………………………………………………………
       ………………………………………………………………………
   b) Were institutionalized persons excluded from the sample?
      No ☐ Yes ☐: ………………………………………………………………………
       ………………………………………………………………………
       ………………………………………………………………………
   c) Were military personnel excluded from the sample?
      No ☐ Yes ☐: ………………………………………………………………………
       ………………………………………………………………………
       ………………………………………………………………………
   d) If interviews were conducted by telephone:
      What is the estimated percentage of households without a phone: ___%
Were unlisted telephone numbers included in the population sampled?
Yes ☐ No ☐

Were substitution methods used for unproductive sample points? No ☐ Yes ☐:
..........................................................................................................................
..........................................................................................................................
..........................................................................................................................

e) Were other persons excluded from the sample frame: No ☐ Yes ☐:
..........................................................................................................................
..........................................................................................................................
..........................................................................................................................

f) Estimated total \(a + b + c + d + e\) percentage of the eligible population excluded from the sample frame: ___ %

2. Sample Selection Procedures:
   a) What were the primary sampling units? Were the primary sampling units randomly selected? No ☐ Yes ☐ (Please Describe):
..........................................................................................................................
..........................................................................................................................
..........................................................................................................................

   b) Were there further stages of selection? No ☐ Yes ☐ (Please Describe):
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..........................................................................................................................
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   c) How were individual respondents identified?
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..........................................................................................................................
..........................................................................................................................

   e) Under what circumstances was a sample line designated non-sample? (Check all that apply)
   ☐ Non-residential sample point
   ☐ All members of household are ineligible
   ☐ Housing unit is vacant
   ☐ No answer at housing unit after .......... callbacks
   ☐ Other, explain: .................................................................
..........................................................................................................................
f) Were non-sample replacement methods used? No ☐ Yes ☐
(Please Describe): .................................................................
......................................................................................
......................................................................................

For surveys conducted by telephone:
Was the sample a random digit dial sample? Yes ☐ No ☐
Was the sample a listed sample? Yes ☐ No ☐
Was the sample a dual frame? No ☐ Yes ☐ with ..... % list frame and ..... % RDD

For surveys conducted by mail:
Was the sample a listed sample? Yes ☐ No ☐ (Please Describe):......................................
......................................................................................
......................................................................................

4. Compliance

a) Prior to the study was:

a letter sent to respondent? No ☐ Yes ☐ (Please Include with Deposit
payment sent to respondent? No ☐ Yes ☐, in the amount of: .............
a token gift sent to respondent? No ☐ Yes ☐ (Please Describe):
......................................................................................
......................................................................................
any other incentives used? No ☐ Yes ☐ (Please Describe):
......................................................................................
......................................................................................

b) During the Field Period

How many contacts were made with the household before declaring it non-sample? ............................................

How many contacts were made with the household before declaring it non-interview? ........................................

Maximum number of days over which a household was contacted: .................

Did interviewers vary the time of day at which they re-contacted the household? No ☐ Yes ☐ (Please Describe): ............................................
c) Refusal Conversion

Was an effort made to persuade respondents who were reluctant to be interviewed? No ☐ Yes ☐ (Please Describe): ..........................................................
..................................................................................................................................................

Were respondents who were reluctant to be interviewed sent a letter persuading them to take part? No ☐ Yes ☐ (Please Describe/ Include with Deposit):
..................................................................................................................................................
..................................................................................................................................................

Was payment offered to respondents who were reluctant to take part? No ☐ Yes ☐, in the amount of: ..........................................................

Were respondents who were reluctant to take part turned over to a more experienced interviewer? Yes ☐ No ☐

What was the maximum number of re-contacts used to persuade respondent to be interviewed? ..........................................................
..................................................................................................................................................

Were any other methods used to persuade respondents reluctant to be interviewed to take part? No ☐ Yes ☐ (Please Describe): ..........................................................
..................................................................................................................................................

5. Response Rate (to first wave if a panel study)

Total number of sample lines issued: ..........................................................
Number of refusals: ...........................................................................
Number never contacted (no-contact): ...................................................
Other non-response: ...........................................................................
Number of lines of non-sample: ..........................................................
Total number of completed interviews: ..................................................

Response Rate: ..............................................................................
Panel Attrition (NOTE: Complete only if CSES questionnaire is administered as part of a 2-wave panel study):

Total number of respondents in Wave I of the study:

Number of Wave I respondents re-interviewed in wave containing CSES Module:

Percent total panel attrition:

Panel attrition by age and education: (% re-interviewed):

<table>
<thead>
<tr>
<th>Age Group</th>
<th>% Re-interviewed</th>
</tr>
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<tbody>
<tr>
<td>18-25</td>
<td>None</td>
</tr>
<tr>
<td>26-40</td>
<td>Incomplete primary</td>
</tr>
<tr>
<td>41-65</td>
<td>Primary completed</td>
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<tr>
<td>65 &amp; over</td>
<td>Incomplete secondary</td>
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<tr>
<td></td>
<td>Secondary completed</td>
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<tr>
<td></td>
<td>University incomplete</td>
</tr>
<tr>
<td></td>
<td>University degree</td>
</tr>
</tbody>
</table>

6. Sample Weights

a) Are weights included in the data-file? No □ Yes □ (Please Describe their Construction):

b) Are the weights designed to compensate for disproportionate probability of selection at the person or household level? No □ Yes □ (Please Describe):

c) Are the weights designed to match known demographic characteristics of the population? No □ Yes □ (Please Describe):
d) Are the data weighted to correct for non-response? No □ Yes □ (Please Describe): ……………………………………………………………………………………
…………………………………………………………………………………

7. a) Please describe the interviewers (age, level of education, and years of experience):
…………………………………………………………………………………
…………………………………………………………………………………
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b) Description of interviewer training:
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XIV. Comparison of Sample to Population

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<tr>
<th>Characteristic</th>
<th>Population Estimates</th>
<th>Sample Estimates</th>
<th>Unweighted</th>
<th>Weighted</th>
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<tbody>
<tr>
<td>Age</td>
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<td>Education</td>
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