

CSES Module 1:
Sample Design and Data Collection Report

Country (Date of Election): _____

Type of Election (e.g. presidential; parliamentary; legislative): _____

Organization that Conducted the Survey Field Work: _____

Investigators Responsible for Data Collection

Name:	Name:
Affiliation:	Affiliation:
.....
Address:	Address:
.....
.....
.....
Fax:	Fax:
Phone:	Phone:
E-mail:	E-mail:

Name:	Name:
Affiliation:	Affiliation:
.....
Address:	Address:
.....
.....
.....
Fax:	Fax:
Phone:	Phone:
E-mail:	E-mail:

Languages used in Interviews: (Please provide copies of all survey instruments, and translation for those that were not conducted in English).

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A. Study Design

- Post-Election Study
- Pre-/Post-Election Panel Study

Date Post-Election Interviewing Began:
Date Post-Election Interviewing Ended:

If Panel Study:

Date Pre-Election Interviewing Began:
Date Pre-Election Interviewing Ended:

Mode of (post-election) interview:

- In person, face-to-face
- Telephone
- Mail or self-completion supplement

B. Sample Design and Sampling Procedures

1. Eligibility Requirements

- a) Age: Minimum..... Maximum.....
- b) Citizenship: Yes No
- c) Other requirements:

2. Sample Frame:

- a) Were any regions of the country excluded from the sample frame?
No Yes :
.....
.....
- b) Were institutionalized persons excluded from the sample?
No Yes :
.....
.....
- c) Were military personnel excluded from the sample?
No Yes :
.....
.....
- d) If interviews were conducted by telephone:
What is the estimated percentage of households without a phone: ____%

Were unlisted telephone numbers included in the population sampled?
Yes No

Were substitution methods used for unproductive sample points? No Yes :
.....
.....
.....

e) Were other persons excluded from the sample frame: No Yes :
.....
.....
.....

f) Estimated total (a + b + c + d + e) percentage of the eligible population
excluded from the sample frame: ____ %

2. Sample Selection Procedures:

a) What were the primary sampling units? Were the primary sampling units
randomly selected? No Yes (Please Describe):
.....
.....
.....

b) Were there further stages of selection? No Yes (Please Describe):
.....
.....
.....

c) How were individual respondents identified?
.....
.....
.....

e) Under what circumstances was a sample line designated non- sample?
(Check all that apply)
 Non-residential sample point
 All members of household are ineligible
 Housing unit is vacant
 No answer at housing unit after callbacks
 Other, explain:
.....

f) Were non-sample replacement methods used? No Yes
(Please Describe):
.....
.....

For surveys conducted by telephone:
Was the sample a random digit dial sample? Yes No
Was the sample a listed sample? Yes No
Was the sample a dual frame? No Yes with % list frame and % RDD

For surveys conducted by mail:
Was the sample a listed sample? Yes No (Please Describe):.....
.....
.....

4. Compliance

a) Prior to the study was:

a letter sent to respondent? No Yes (Please Include with Deposit
payment sent to respondent? No Yes , in the amount of:.....

a token gift sent to respondent? No Yes (Please Describe):
.....
.....
.....
any other incentives used? No Yes (Please Describe):
.....
.....
.....

b) During the Field Period

How many contacts were made with the household before declaring it non-
sample?

How many contacts were made with the household before declaring it non-
interview?

Maximum number of days over which a household was contacted:

Did interviewers vary the time of day at which they re-contacted the household?
No Yes (Please Describe):

c) Refusal Conversion

Was an effort made to persuade respondents who were reluctant to be interviewed? No Yes (Please Describe):
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.....

Were respondents who were reluctant to be interviewed sent a letter persuading them to take part? No Yes (Please Describe/ Include with Deposit):
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.....

Was payment offered to respondents who were reluctant to take part? No Yes , in the amount of:

Were respondents who were reluctant to take part turned over to a more experienced interviewer? Yes No

What was the maximum number of re-contacts used to persuade respondent to be interviewed?
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Were any other methods used to persuade respondents reluctant to be interviewed to take part? No Yes (Please Describe):
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.....

5. Response Rate (to first wave if a panel study)

Total number of sample lines issued:
Number of refusals:
Number never contacted (no-contact):
Other non-response:
Number of lines of non-sample:
Total number of completed interviews:
Response Rate:

Panel Attrition (NOTE: Complete only if CSES questionnaire is administered as part of a 2-wave panel study):

Total number of respondents in Wave I of the study:

Number of Wave I respondents re-interviewed in wave containing CSES

Module:

Percent total panel attrition:

Panel attrition by age and education: (% re-interviewed):

18-25%
26-40%
41-65%
65 & over%

None %
Incomplete primary %
Primary completed%
Incomplete secondary%
Secondary completed%
University incomplete%
University degree%

6. Sample Weights

a) Are weights included in the data-file? No Yes (Please Describe their Construction):

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.....
.....
.....

b) Are the weights designed to compensate for disproportionate probability of selection at the person or household level? No Yes (Please Describe):

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.....
.....

c) Are the weights designed to match known demographic characteristics of the population? No Yes (Please Describe):

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.....

d) Are the data weighted to correct for non-response? No Yes (Please Describe):

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7. a) Please describe the interviewers (age, level of education, and years of experience):

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b) Description of interviewer training:

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XIV. Comparison of Sample to Population

Characteristic	<u>Population Estimates</u>	<u>Sample Estimates</u>	
		Unweighted	Weighted
<u>Age</u>			
18-25			
26-40			
41-66			
65 and over			
<u>Education</u>			
None			
Incomplete Primary			
Primary Completed			
Incomplete Secondary			
Secondary Completed			
Post-Secondary Trade/ Vocational			
Incomplete University			
University Degree			
<u>Gender</u>			
Male			
Female			